

(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35			
NAME OF THE COMPANY:MCX STOCK EXCHANGE LTD			
SCRIP CODE:	Not applicable	Name of the Scrip: Not applicable	
Class of Security:	Equity shares of Re. 1 each and Warrants of Re. 1 each		
QUARTER ENDED:	September 30, 2014		
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company.
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
Total:	0	0	0
Outstanding convertible securities:	No. of outstanding securities	As a % of total no. of outstanding convertible securities.	As a % of total no. of shares of the Company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
Total:	0	0	0
Warrants:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter/promoter group	-	0	0.00
Held by Public	634,170,000	100	53.18
Total:	634,170,000	100	53.18
Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities	1,192,553,776	0	100.00

Vide order dated March 19, 2014 SEBI has directed Financial Technologies (India) Limited inter alia to divest equity shares and warrants held in MCX-SX directly or indirectly within 90 days of order. MCX has vide letter dated April 04, 2014 written to SEBI for a clarification that the aforesaid order does not include MCX within its ambit. Further vide same order SEBI stated that FTIL and entities through whom it indirectly hold equity shares or any instrument entitling voting rights in MCX SX shall cease to be entitled to exercise voting rights in respect of those shares or instrument with immediate effect. The Securities Appellate Tribunal (SAT) has since its order dated July 09, 2014 upheld the decision of SEBI. Accordingly SAT had given FTIL four weeks times to divest shares of the relevant entities. In view of FTIL continuing to hold the warrants beyond the aforesaid time limit, the Board of the Exchange had at meeting held on August 14, 2014 after pursuing a legal opinion decided to treat the 562,460,000 warrants held by FTIL as extinguished and of no effect whatsoever. FTIL has by various letters informed that they are in advanced stage of discussions for sale of securities held in MCX-SX. Earlier Multi Commodity Exchange of India Limited & Financial Technologies (India) Limited were required to reduce their entitlement to warrants in excess of limit of shareholding as specified in the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 within a period of three years from June 20, 2012.

CATEGORY OF SHAREHOLDER			TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES		SHARES PLEDGE OR OTHERWISE ENCUMBERED		
	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	AS a PERCENTAGE of (A+B)	As a PERCENTAGE of (A+B+C)	NUMBER OF SHARES	AS a PERCENTAGE
(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
PROMOTER AND PROMOTER GROUP							
INDIAN							
Individual /HUF	0	0	0	0.00	0.00	0	0.00
Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00
Bodies Corporate	0	0	0	0.00	0.00	0	0.00
Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
Others	0	0	0	0.00	0.00	0	0.00
Sub-Total A(1) :	0	0	0	0.00	0.00	0	0.00
FOREIGN							
Individuals (NRIs/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
Bodies Corporate	0	0	0	0.00	0.00	0	0.00
Institutions	0	0	0	0.00	0.00	0	0.00
Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
Others	0	0	0	0.00	0.00	0	0.00
Sub-Total A(2) :	0	0	0	0.00	0.00	0	0.00
Total A=A(1)+A(2)	0	0	0	0.00	0.00	0	0.00
PUBLIC SHAREHOLDING							
INSTITUTIONS							
Mutual Funds /UTI	0	0	0	0.00	0.00		
Financial Institutions /Banks	19	469294188	469294188	84.05	84.05		
Central Government / State Government(s)	0	0	0	0.00	0.00		
Venture Capital Funds	0	0	0	0.00	0.00		
Insurance Companies	0	0	0	0.00	0.00		
Foreign Institutional Investors	0	0	0	0.00	0.00		
Foreign Venture Capital Investors	0	0	0	0.00	0.00		
Qualified Foreign Investor	0	0	0	0.00	0.00		
Others	0	0	0	0.00	0.00		
Sub-Total B(1) :	19	469294188	469294188	84.05	84.05		
NON-INSTITUTIONS							
Bodies Corporate #	3	82249188	82249188	14.73	14.73		
Individuals							
(i) Individuals holding nominal share capital upto Rs.1 lakh	62	459007	459007	0.08	0.08		
(ii) Individuals holding nominal share capital in excess of Rs.1 lakh	2	400000	400000	0.07	0.07		
Others							
TRUSTS	1	5981393	5981393	1.07	1.07		
Qualified Foreign Investor	0	0	0	0.00	0.00		
Sub-Total B(2) :	68	89089588	89089588	15.95	15.95		
Total B=B(1)+B(2) :	87	558383776	558383776	100.00	100.00		
Total (A+B) :	87	558383776	558383776	100.00	100.00		
Shares held by custodians, against which Depository Receipts have been issued	0	0	0	0	0		
Promoter and Promoter Group							
Public	0	0	0	0.00	0.00		
GRAND TOTAL (A+B+C) :	87	558383776	558383776	100.00	100.00	0	0.00

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr.No	Name of the Shareholder	Details of Shares held		Encumbered shares (*)			Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held	As a % of grand total (A)+(B)+(C)	Pledge Shares	AS a percentage	AS a % of grand total (A) + (B) + (C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
		0	0.00	0.00	0.00	0.00	0	0.00	0.00	0.00	0.00

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	IFCI LIMITED	71875000	12.87	0	0.00	0	0.00	6.03
2	UNION BANK OF INDIA	72500000	12.98	0	0.00	0	0.00	6.08
3	PUNJAB NATIONAL BANK	50000000	8.95	0	0.00	0	0.00	4.19
4	IL AND FS FINANCIAL SERVICES LIMITED	27919188	5.00	0	0.00	0	0.00	2.34
5	CORPORATION BANK	25000000	4.48	0	0.00	0	0.00	2.10
6	INDIAN OVERSEAS BANK	25000000	4.48	0	0.00	0	0.00	2.10
7	INDIAN BANK	25000000	4.48	0	0.00	0	0.00	2.10
8	ORIENTAL BANK OF COMMERCE	25000000	4.48	0	0.00	0	0.00	2.10
9	ALLAHABAD BANK	25000000	4.48	0	0.00	0	0.00	2.10
10	ANDHRA BANK	25000000	4.48	0	0.00	0	0.00	2.10
11	BANK OF INDIA	25000000	4.48	0	0.00	0	0.00	2.10
12	BANK OF BARODA	27919188	5.00	0	0.00	0	0.00	2.34
13	SYNDICATE BANK	12500000	2.24	0	0.00	0	0.00	1.05
14	HDFC BANK LTD	12000000	2.15	0	0.00	0	0.00	1.01
15	STATE BANK OF INDIA	10000000	1.79	0	0.00	0	0.00	0.84
16	UNITED BANK OF INDIA	10000000	1.79	0	0.00	0	0.00	0.84
17	VIJAYA BANK	10000000	1.79	0	0.00	0	0.00	0.84
18	AXIS BANK LIMITED	10000000	1.79	0	0.00	0	0.00	0.84
19	MCX STOCK EXCHANGE ESOP TRUST	5981393	1.07	0	0.00	0	0.00	0.50
20	MULTI COMMODITY EXCHANGE OF INDIA LIMITED	27165000	4.86	634170000	100.00	0	0.00	55.46
21	FINANCIAL TECHNOLOGIES (INDIA) LIMITED*	27165000	4.86	0	0.00	0	0	2.28
	TOTAL :	550024769	98.50	634170000	100.00	0	0.00	99.30

* The Board of the Exchange had at meeting held on August 14, 2014 after pursuing a legal opinion, decided to treat the 562,460,000 warrants held by FTIL as extinguished and of no effect whatsoever as move fully explained in the note below table 1(a).

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	IFCI LIMITED	71875000	12.87	0	0.00	0	0.00	6.03
2	UNION BANK OF INDIA	72500000	12.98	0	0.00	0	0.00	6.08
3	PUNJAB NATIONAL BANK	50000000	8.95	0	0.00	0	0.00	4.19
	TOTAL :	194375000	34.81	0	0.00	0	0.00	16.30

(l)(d)	STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES			
SLNO	NAME OF THE SHAREHOLDER	CAT. CODE	NO OF SHARES	PERCENTAGE
NIL				

(II)(a)	STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)			
Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(I)(a) above}
NIL				

(II)(b)	Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number shares.			
Sr.No.	Name of the DR Holder	Type of outstanding DR (ADRs,GDRs,SD Rs,etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para(I)(a) above}
NIL				